Cite This: Nano Lett. XXXX, XXX, XXX-XXX

Strong Hot Carrier Effects in Single Nanowire Heterostructures

Iraj Abbasian Shojaei, Samuel Linser, Giriraj Jnawali, N. Wickramasuriya, Howard E. Jackson, and Leigh M. Smith*®

Department of Physics, University of Cincinnati, Cincinnati, Ohio 45221, United States

Fariborz Kargar[®] and Alexander A. Balandin

Department of Electrical and Computer Engineering, University of California, Riverside, California 92521, United States

Xiaoming Yuan[®]

School of Physics and Electronics, Hunan Key Laboratory for Supermicrostructure and Ultrafast Process, Central South University, 932 South Lushan Road, Changsha, Hunan 410083, P.R. China

Philip Caroff, Hark Hoe Tan, and Chennupati Jagadish

Department of Electronic Materials Engineering, Research School of Physics and Engineering, The Australian National University, Canberra, ACT 2601, Australia

Supporting Information

ABSTRACT: We use transient Rayleigh scattering to study the thermalization of hot photoexcited carriers in single GaAs_{0.7}Sb_{0.3}/InP nanowire heterostructures. By comparing the energy loss rate in single core-only GaAs_{0.7}Sb_{0.3} nanowires which do not show substantial hot carrier effects with the core-shell nanowires, we show that the presence of an InP shell substantially suppresses the longitudinal optical phonon emission rate at low temperatures which then leads to strong hot carrier effects.



KEYWORDS: Hot carrier effects, hot phonons, carrier thermalization

he understanding of thermalization processes in semiconductors has significant implications for a wide variety of applications. For instance, one of the barriers to higher efficiency solar cells is the substantial loss of solar energy to heat as carriers thermalize before they are collected electrically.¹⁻⁵ When electrons and holes are created with substantial excess energy, they first rapidly relax (<1 ps) through the emission of longitudinal optical (LO) phonons through polar Frohlich interactions.⁶ This creates a large nonthermal distribution of LO phonons which must equilibrate to the lattice temperature. In many materials, such as GaAs, GaSb, and the ternary alloy GaAsSb, these nonthermal LO phonons can efficiently thermalize through the Klemens channel where a single zone center LO phonon decays into two counter propagating longitudinal acoustic (LA) phonons. However, in materials such as InP where $h\omega_{LO} > 2 h\omega_{LA}$, this thermalization process is inhibited and so the LO phonon populations can remain in a nonthermal state for extended periods which inhibits cooling of the electrons and holes resulting in a pronounced hot carrier effect.

The subject of hot carrier effects in semiconductors has been of strong interest for the past 20 years since it was first realized that LO phonon emission could be strongly suppressed in certain materials or structures.^{10–12} Substantial progress in understanding hot carrier thermalization in bulk and twodimensional materials has been made but much less is known about how nanoscale heterostructures might impact thermalization dynamics.^{13,14} Numerous measurements have shown that hot carrier effects are more prominent in InP materials than GaAs-based nanowires (NWs),^{9,15} whereas others have shown that hot carrier effects are more dominant in thinner NWs,¹⁶ and terahertz measurements have shown that defects such as stacking faults can enhance hot carrier effects even further.^{17–19}

Received: April 1, 2019 **Revised:** June 12, 2019 Published: June 26, 2019



Figure 1. (a) SEM images $GaAs_{0.7}Sb_{0.3}/InP$ nanowires. Scale bar is 1 μ m. (b) Cross -sectional TEM image of $GaAs_{0.7}Sb_{0.3}/InP$ NW. Scale bar is 50 nm. (c) Schematic band diagram of type II $GaAs_{1-x}Sb_x/InP$ nanowire. (d,e) Solid black lines show exponential decay fitting of selected transient Rayleigh scattering carrier dynamics data for core-only (solid circle) and core-shell (open circle) nanowires at (d) 10 K (blue) and (e) 300 K (red) at fixed 0.83 eV probe energy.

In this paper, we use transient Rayleigh scattering (TRS) to measure directly the average energy per carrier as a function of time in single NWs at 10 and 300 K and extract the energy loss rate for core-only GaAs_{0.7}Sb_{0.3} NWs and GaAs_{0.7}Sb_{0.3}/InP NW core-shell heterostructures. We show that although the core-only GaAs_{0.7}Sb_{0.3} shows the expected optic-phonon dominated rapid thermalization, the growth of an InP shell surrounding GaAs_{0.7}Sb_{0.3} core exhibits extremely strong hot-carrier effects.

Sample Morphology and Experimental Setup. Coreonly GaAs_{0.7}Sb_{0.3} and core-shell GaAs_{0.7}Sb_{0.3}/InP NWs were grown via the vapor-liquid-solid (VLS) method using gold catalysts in a metal-organic vapor phase epitaxy (MOVPE) system.^{20,21} Figure 1a shows an SEM image of the morphology of the core-shell NWs. Figure 1b shows a transmission electron microscopy (TEM) image of a cross-section of a core-shell NW which displays the hexagonal inner core with nonpolar {110} facets surrounded by an outer truncated triangular-shaped InP shell with {112} facets. The diameter of the GaAs_{0.7}Sb_{0.3} core is 70 nm and the thickness of the InP shell is a maximum of 30 nm. Details on the growth and morphology of the core-only and core-shell NWs can be found in refs 20 and 21.

Under lattice-matched conditions $GaAs_{0.5}Sb_{0.5}/InP$ heterostructures have been shown to display a type II band alignment (see Figure 1c) with holes confined to the $GaAs_{0.5}Sb_{0.5}$ valence band (VB) with a 0.4 eV InP barrier, while the electrons are confined to the InP conduction band (CB) with a relatively modest $GaAs_{0.5}Sb_{0.5}$ barrier.²² In $GaAs_{0.7}Sb_{0.3}/InP$ NWs, the $GaAs_{0.7}Sb_{0.3}$ core is under tensile strain whereas the InP shell is under compressive strain. It is expected that the type I to type II transition should occur at approximately 30% to 40% Sb composition. Thus, our expectation is that the holes are strongly confined to the $GaAs_{0.7}Sb_{0.3}$ core, whereas the electrons see nearly flat-band conditions in the CB between the core and shell.

In the TRS experiments, a Coherent Chameleon Ti:sapphire laser with 150 fs 1.5 eV pulses is used to excite single NWs with light polarized parallel to the long axis. The power of the pump beam is kept low enough so that there is no potential for heating the lattice. The change in the polarized scattering efficiency is monitored by a delayed mid-infrared output pulse (150 fs) from a Coherent Chameleon OPO laser with energies ranging from 0.79 to 1.13 eV (1100 to 1570 nm). The polarization of the probe beam oscillates at 100 kHz between parallel and perpendicular to the NW axis using a photoelastic modulator, and the scattered light from the NW is detected using a LN₂-cooled InSb detector and a lock-in amplifier. Using a mechanical delay line, the probe pulse can be delayed relative to the pump pulse (-100 to 2000 ps) to investigate the effect of carrier decay and thermalization on scattering efficiency. For this measurement, the pump pulse train is chopped at 800 Hz and the output of the first lock-in is detected by a second lock-in amplifier tuned to this frequency. The second lock-in thus measures the change in the polarized scattering efficiency due to the presence of the photoexcited carriers: $\Delta R' = R'_{on} - R'_{off}$ where $R' = R_{\parallel} - R_{\perp}$. The normalized TRS scattering efficiency, $\Delta R'/R' = (R'_{on} - R'_{off})/$ R'_{off} has a derivative-like behavior as a function of energy and depends on the geometry of the NW and the change of both the real and imaginary parts of complex index of refraction which is function of energy, carrier density, and temperature.^{9,23,24}

Experimental Results. Figure 1d shows the time decay of the TRS scattering efficiency for the core-only (solid circles) and core—shell (open circles) NWs at 10 K at a fixed 0.83 eV probe energy just below the band edge. The core-only NW decays rapidly to background with a 10 ps exponential decay, whereas the core—shell NW shows a remarkably long 1800 ps exponential decay. This indicates that photoexcited carrier recombination in the core-only GaAs_{0.7}Sb_{0.3} NW is dominated



Figure 2. Theoretical fitting (blue lines) of transient Rayleigh scattering spectra at three different time delays at 10 K for (a) core-only (70 nm diameter) and (b) core-shell (130 nm diameter) nanowires. (c) Normalized carrier density of core-only and core-shell nanowires at 10 K have been marked on transient Rayleigh scattering time scan data with red hexagons and red squares, respectively. Initial carrier density for core-only nanowires is around 4×10^{18} cm⁻³ and for core-shell nanowires is about 2×10^{18} cm⁻³. (d) Carrier temperature from modeling of transient Rayleigh scattering spectroscopy data at different measured delay time for core-only and core-shell nanowires at 10 K.

by nonradiative surface recombination. The InP shell clearly passivates the surface states of the GaAsSb core, resulting in a 2 orders of magnitude longer lifetime. In contrast, at room temperature (300 K), the core-only NW shows a similar 10 ps fast decay, whereas the core-shell exhibits only a 150 ps time decay (Figure 1e). This is consistent with the CB of the InP shell providing only very weak confinement for electrons of ~30 meV relative to the $GaAs_{0.7}Sb_{0.3}$ CB edge. If the band alignment is Type-I with electrons and holes confined to the core, the 30 meV confinement potential in the conduction band would not be sufficient to confine the electrons to the core if they have a thermal energy of \sim 30 meV at 300 K and so they would see the unpassivated surface states of the InP. If the band alignment is Type-II with the electrons confined to the InP, at low temperatures the Coulomb attraction of the electrons to the strongly confined holes in the core is sufficient to keep them close to the heterointerface. However, at 300 K it is expected that the thermal energy of the electrons would enable them to scatter more frequently with the surface states in the InP. Whether the weak confinement in the CB is type I or II is not possible to determine from our experimental results.

By measuring the energy dependence of the polarized scattering efficiency one can obtain a more detailed understanding of the carrier dynamics.^{25,26} As noted previously, the resulting line-shapes exhibit a derivative-like spectra where the zero-crossing and line widths depend directly on the density and temperature of the electron hole pairs, and the diameter of the nanowires. Using the analysis described in refs 23 and 24, and detailed in the Supporting Information, we are able to extract directly the density and temperature of the electron–hole pairs as a function of time after photoexcitation by the pump pulse.

Figure 2a,b shows such TRS spectra measured at ~10 K at three different delay times of the probe pulse for (a) $GaAs_{0.7}Sb_{0.3}$ core-only and (b) $GaAs_{0.7}Sb_{0.3}/InP$ core-shell NWs. As described by Montazeri et al.,^{23,24} the zero crossing point of NWs TRS spectra occurs approximately at the band gap of the structure. The line-shape fit is sensitively dependent on the diameter of the nanowire and the density and temperature of the electron and hole distributions. The coreonly NWs display a zero crossing at ~0.9 eV which shifts slightly to lower energy at later times. The line-shape for the core-only NW is very broad at early times and narrows within 20 ps which is indicative of filling of the conduction and valence bands with a dense and hot electron hole plasma, which decays and thermalizes rapidly. The behavior of the core-shell NW is dramatically different with a smaller lineshape narrowing which extends over a two-orders of magnitude longer time of 2000 ps.

By minimizing the difference between the theoretical lineshape and the data points (see section S1 in Supporting Information), we are able to determine the electron-hole density and temperature for each delay time. The diameter of the nanowire is determined so that the chi-square is minimized for all spectra. In the fits displayed in Figure 2 the NW diameters determined in this way are 70 and 130 nm for coreonly and core-shell NWs respectively, which are consistent with cross-sectional TEM measurements.²¹ Using these NW diameters and assuming the number of light and heavy holes equals the number of electrons, it is straightforward to determine the carrier density and temperature from each spectrum (see solid lines in Figure 2a,b). The time dependent density and temperature extracted from the fits of spectra at 10 K are shown in Figure 2c,d. The red squares (red hexagons) in Figure 2c show the normalized carrier densities in the coreshell (core-only) NWs at times after photoexcitation which is



Figure 3. Theoretical fitting (red lines) of transient Rayleigh scattering spectra at three different time delays at 300 K for (a) core-only and (b) core-shell nanowires. (c) Normalized carrier density of core-only and core-shell nanowires at 300 K have been marked on transient Rayleigh scattering time scan data with blue hexagons and blue squares, respectively. Initial carrier density for core-only nanowires is around 5×10^{18} cm⁻³. (d) Carrier temperature from modeling of transient Rayleigh scattering spectroscopy data at different measured delay time for core-only and core-shell nanowires at 300 K.

in good agreement with the time decays shown previously. The initial carrier density for core-only NWs is $\sim 4 \times 10^{18}$ cm⁻³ and $\sim 2 \times 10^{18}$ cm⁻³ for the core-shell NWs. The fits confirm that the carrier density in the core-shell NWs takes 600 times longer to decay. This suggests that the band alignment of the core and shell is type-II (with holes confined to the core), but is not conclusive. The temperature (Figure 2d) of the photoexcited electrons and holes in the core-only NWs drops from 400 to 200 K in 20 ps, while in the core-shell NWs it takes nearly 2000 ps to drop to 140 K from the same initial temperature. These spectra also confirm that the lattice temperature does not change from the nominal 10 K.

Figure 3a,b shows TRS spectra for core-only and core-shell NWs at ~300 K. The behavior of the line-shapes for the coreonly NWs is very similar to that at low temperature, whereas the line-shape for the core-shell NWs exhibits a somewhat faster decay and thermalization with time, consistent with the shorter time decays observed at room temperature. The fits to the 300 K spectra (see Figure 3) again result in the same 70 and 130 nm diameters for the core-only and core-shell NWs, respectively. The density and temperature extracted from these fittings at room temperature are shown in Figure 3c,d, respectively. The normalized carrier densities obtained from the fits are shown in Figure 3c with blue hexagons for coreonly NWs and blue squares for core-shell NWs. These points display good agreement with the related TRS time scan. The initial densities are 5×10^{18} cm⁻³ for the core-only NWs and 6.3×10^{18} cm⁻³ for the core-shell NWs. These fits show extremely rapid carrier thermalization in the core-only NWs from 500 to 320 K within ~20 ps after the pump pulse. The core-shell NWs, on the other hand, show a slower thermalization from 550 to 320 K within 150 ps (Figure 3d).

Fits to the time-resolved scattering spectra of these NWs suggest several conclusions. The first is that the InP shell

clearly passivates nonradiative surface states in the GaAs_{0.7}Sb_{0.3} NWs at both 10 and 300 K resulting in substantially longer recombination lifetimes in the core–shell NWs. The much larger lifetime enhancement observed at low temperatures may indicate that the band alignment of the core–shell NW is marginally type-II with electrons confined to the InP with a 30 meV confinement energy. However, the carrier temperature dynamics also indicate that the presence of the InP shell clearly causes a substantial slowing of the thermalization times although GaAsSb (like GaAs) is not known to be a material which shows substantial hot carrier effects. In the following sections, we quantify the change in the energy loss rate in the core–shell NWs.

Carrier Thermalization. Through the fitting process described above we can determine the electron and hole densities (their quasi-Fermi energies) and temperature as a function of time. We can therefore calculate the dynamic change in the average energy per carriers using the expression^{27–29}

$$E = \frac{3}{2}k_{\rm B}T \frac{F_{3/2}(\eta)}{F_{1/2}(\eta)}$$
(1)

where η is the quasi-Fermi energy and $F_i(\eta)$ is the *i*th Fermi integral defined in the usual manner. Using this result and the measured dynamics of the temperature and Fermi energies for electrons and holes we can calculate the average energy per electron-hole pair as a function of time after photoexcitation for both the core-only and core-shell NWs.

The thermalization of electrons and holes is determined by the scattering (emission) rate of the carriers with LO and LA phonons which determines their energy loss rate.^{11,30,31} From the average energy per pair, we can calculate the energy loss rate (ELR) versus time simply by calculating the numerical derivative. The ELR calculated in this way is shown in Figure



Figure 4. dE/dt and carrier energy loss rate due to optical and acoustic phonon emission for core-only and core-shell nanowires respectively at 10 K (a,b) and 300 K (c,d). Dashed lines in these graphs show energy loss rate due to optical phonon emission calculated by the Ridley expression.

4a,c for the core-only NWs at 10 and 300 K, respectively, and Figure 4b,d for the core-shell NWs at 10 and 300 K respectively. The difference between the core-only and core-shell NWs at 10 K is immediately obvious as the ELR of the core-only is 3 orders of magnitude larger than that of the core-shell NWs.

The reduction in the energy per particle reflects the thermalization of the carriers as a function of time. The derivative dE/dt also shows the dynamics of the ELR as a function of time. Because the thermalization process is dominated by emission of both LO and LA phonons, it is clear that $^{28-30}$

$$\left\langle \frac{dE}{dt} \right\rangle = c \left\langle \frac{dE(N(t), T(t))}{dt} \right\rangle_{LO} + \left\langle \frac{dE(E_{ac}, N(t), T(t))}{dt} \right\rangle_{LA}$$
(2)

The left-hand side of the equation is determined directly from the TRS measurements. Hot carrier effects in semiconductors occur because of a large suppression of the LO phonon emission rate because of hot phonons which cannot down convert efficiently to LA phonons.^{27,28} On the other hand, if one knows the acoustic deformation potential, the ELR for LA phonons is quite well understood.³² This means that it is possible to extract the LO phonon ELR simply by subtracting the ELR for LA phonons directly from dE/dtcalculated from the TRS data.

For example, dE/dt from the TRS data for the core-only NWs at 10 K shows a total ELR which is ~10¹⁰ eV/s. Given the deformation potential of 1.6 eV, the LA phonon ELR is just below 10⁸ eV/s.⁹ This means that the thermalization of hot carriers in the core-only NWs is completely dominated by LO phonon emission which explains the rapid decrease in temperature of the carriers (see Figure 4a). In contrast, the 10 K measurements for the core–shell NWs show a radically different behavior. Although the total ELR starts at 10⁹ eV/s, it falls rapidly to mid 10^7 eV/s and decreases slowly after that. We adjust the deformation potential to 1.6 eV in order to fit the late time response of the energy loss rate for the 10 K core–shell nanowires. By subtracting the LA phonon ELR from dE/dt, we therefore obtain the dynamics of the change in the LO phonon ELR in the core–shell NWs. This shows that the LO phonon ELR dominates at times less than 200 ps after the pump pulse but falls rapidly below the LA phonon ELR at later times (see Figure 4b).

The black dashed lines in Figure 4 display the ELR due to LO phonon emission based on the Ridley expression.^{27,33–35} In this calculation, the LO phonon ELR depends on carrier temperature and density, lattice temperature, and reabsorption of LO phonons which is represented by a coefficient in that formalism. For a given 0.0025 reabsorption coefficient, the ELR due to LO phonon emission is close to the carrier ELR in the core-only NW with an excellent correspondence to the LO phonon emission extracted from the dynamic measurements. But in the core–shell NWs by using same value of the reabsorption coefficient (0.0025), the LO ELR is close to our experimental result at early times but is orders of magnitude too high at times greater than 200 ps.

The LO phonon ELR can be related to the emission rate of the LO phonons through $h\omega/\tau^*$, where τ^* is the time between LO phonon emissions.³⁶ This shows that the LO phonon emission rate for the core-only NWs is ~2 ps, whereas that for the core–shell NWs at 10 K is 10 ps at the earliest times but rapidly increases by 3 orders of magnitude to 4000 ps by 1 ns after the pump pulse. This result is shown in more detail in Figure S1 in the Supporting Information.

Similar analysis of TRS data taken at room temperature (~300 K) shows that the LO phonon emission time for coreonly NWs drops to 100 fs, whereas that for the core-shell NWs remains stable at 5 ps. This implies that even at room temperature hot carrier effects are not negligible in $GaAs_{0.7}Sb_{0.3}/InP$ nanostructures (see Figure 4c,d). The black dashed lines in this graph show the ELR based on the Ridley expression with a 0.025 reabsorption coefficient. This coefficient is 10 times larger than the 10 K value but matches well with the time-dependent ELR extracted from core-only NWs. Comparing the results in Figure 4c,d we see that the calculated LO phonon ELR from the core-only NW is almost 1 order of magnitude larger than the ELR extracted from TRS measurements in the core-shell NW.

Discussion. The central conclusion from the above analysis is that a GaAs_{0.7}Sb_{0.3} semiconductor NW made of material which should not show hot carrier effects, now shows very strong hot carrier effects at low temperature if a thin 30 nm InP shell is added to the NW. In the discussion above, we considered mainly ELR of the charged carriers. We now consider how the phonon populations are affected after excitation by the pump pulse. Electrons and holes are created with nearly 600 meV of excess energy. This means that nearly 20 hot phonons per pair are created by the rapid relaxation of hot electrons and holes to the band edge through the Frohlich interaction. This is potentially different in the core-shell NW because Froehlich coupling in the InP shell is three times larger than the GaAs_{0.7}Sb_{0.3} core (0.15 vs 0.05).³⁷ This may suggest that during the initial relaxation of the hot carriers, substantially more InP-like LO phonons are created than GaAs_{0.7}Sb_{0.3} phonons. Second, to thermalize with the lattice, these hot phonons need to decay anharmonically to the lower frequency LA phonon branches. Hot carrier effects happen because such anharmonic decays are inhibited and so hot phonons persist for much longer times which in turn inhibits thermalization of the hot carriers.

Over the past decade there has been intense interest in phonon engineering whereby one can use nanoscale heterostructures to tune the phonons in a material and also their interactions.^{38,39} Spatial confinement of phonons in nanostructures have been shown to strongly impact their dispersion, group velocity and density of states.^{38,40,41} Although there have been a few papers which claim theoretically that the electron-phonon coupling can be impacted by the presence of a heterostructure, most conclusions are that such an effect is small.⁴¹ Several papers, however, indicate that a shell can strongly impact the LA phonons in the NW, particularly if there is a large impedance mismatch between the core and the shell.⁴²⁻⁴⁶ The impedance, defined as $\eta = \rho \nu_s$ where ρ is the density and ν_s is the sound velocity in the material, is substantially larger (40%) for the GaAs_{0.7}Sb_{0.3} core than for the InP shell. Thus, the outer shell is "softer" than the core, and this has been shown to deplete the density of states of the phonons in the core and confining the phonons to the shell, particularly for large wave-vectors (high frequencies).^{42,43} Several papers have shown theoretically that the thermal conduction in both two- and one-dimensional structures can be strongly suppressed with the addition of a softer cladding layer.^{44,45} Others have shown that the mobility in the core can be enhanced by suppression of the LA phonon modes in the core.⁴¹ Similarly, Stroscio and Dutta have shown that in a nanostructure where the LO phonons are confined (keeping LA phonons not confined) that the anharmonic decay of the LO phonons is suppressed, resulting in a factor of 2 longer lifetimes.⁴

The question is whether in the present case of a 70 nm diameter GaAs_{0.7}Sb_{0.3} core and a 30 nm thick InP shell such confinement effects could be relevant. Two estimates of size scales where phonon confinement effects can be seen are when the diameter of the NW is either comparable to $\lambda_{\rm T} = h\nu_s/k_{\rm B}T$ or the diameter of the NW is less than the phonon mean free

path, $d_{\text{MFP}} = 3K/(C_V \nu_s)$, where K is the thermal conductivity, C_v is the specific heat and ν_s is the phonon velocity. We use tabulated values for these parameters. At 10 K, the thermal wavelength is approximately 20 nm whereas the mean free path is typically >200 nm. Recently Balandin and co-workers have shown using Brillouin scattering spectroscopy that confinement of LA phonons can be observed at room temperature in GaAs NWs with diameters as large as 130 nm.⁴⁸ It has also been demonstrated experimentally that LA phonon confinement may affect thermal transport in nanostructures with the feature sizes of 25 nm.⁴⁹ Although the exact mechanism of the phonon dispersion modification on the charge carrier relaxation requires a separate theoretical investigation, one can conclude that confinement of phonons is certainly applicable for the 30 nm InP shell, and the core can produce some effects at low temperatures. The fact that the hot carrier effects in the core-shell NWs are stronger at low temperature may reflect the temperature dependence of the thermal phonon wavelength and the mean free path.

In Figure 5, we present the results of numerical simulations of the LA phonon dispersion in these NWs with a core



Figure 5. Calculated phonon dispersion in nanowires with and without a shell layer. The red and blue curves show the phonon dispersion along the nanowire axis in a $GaAs_{0.7}Sb_{0.3}$ nanowire with D = 70 nm and the $GaAs_{0.7}Sb_{0.3}/InP$ nanowire with the same inner diameter with an InP shell layer of 30 nm thickness.

diameter of 70 nm and a shell thickness of 30 nm. The simulations reveal noticeable differences between core-only and core-shell NWs, which potentially might be responsible for the experimentally observed phenomena. We see that the shell causes a significant change in the dispersion of all phonon polarization branches. In particular, one can see a decrease in the group velocity of the LA phonon polarization branch. The shell layer also induces bending of the LA phonon branch at smaller phonon wave-vectors compared to the NW without shell layers. The latter translates into substantially lower LA phonon energy at the Brillouin zone edge. Although the differences among the phonon branches in terms of the absolute values of energy may not be large, the changed phonon group velocity and density of states can produce measurable effects at low temperature. This can be understood from the following considerations. The electron-phonon scattering via deformation potential depends on the divergence of the phonon displacement (see, for example, refs 44 and 45). The phonon displacement in a nanowire is different from that in bulk crystals and would depend on the diameter of the

nanowire and mismatch between the nanowire core and the shell. This suggest that the electron relaxation will have a functional dependence on the specifics of the phonon dispersion, particularly at low temperature.

Conclusions. We have shown using TRS to probe coreonly GaAs_{0.7}Sb_{0.3} and GaAs_{0.7}Sb_{0.3}/InP core-shell NWs that the presence of the InP shell strongly influences hot carrier effects in these structures. For the core-only GaAs_{0.7}Sb_{0.3}, no hot carrier effects are seen, and the thermalization of photoexcited carriers is completely dominated by optical phonon emission at both 10 and 300 K. On the other hand, in the GaAs_{0.7}Sb_{0.3}/InP core-shell NW at 10 K the LO phonon emission is completely suppressed at times longer than 200 ps and so thermalization is determined almost completely by LA phonon deformation potential scattering. At 300 K, thermalization of hot carriers in the core-shell NW is determined by the LO phonon emission but strong hot carrier effects are still observed with the emission rate reduced by an order of magnitude from the core-only NW. This provides the first evidence that it might be possible to use concepts from phononic engineering to control hot carrier effects in semiconductors.

ASSOCIATED CONTENT

S Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acs.nano-lett.9b01345.

Description of fitting procedure of transient Rayleigh scattering lineshapes used to extract the radius of the nanowire and density and temperature of the photoexcited carriers; Figure S1: optic phonon lifetimes versus time after pump pulse (PDF)

AUTHOR INFORMATION

Corresponding Author

*E-mail: leigh.smith@uc.edu. Tel: 513-556-0501 (L.M.S.). ORCID [©]

Leigh M. Smith: 0000-0002-3950-1713

Fariborz Kargar: 0000-0003-2192-2023

Xiaoming Yuan: 0000-0001-6840-6136

Notes

The authors declare no competing financial interest.

ACKNOWLEDGMENTS

We acknowledge the financial support of the NSF through Grants DMR 1507844, DMR 1531373, and ECCS 1509706 and also the financial support of the Australian Research Council. The Australian National Fabrication Facility is acknowledged for access to the growth facility used in this work. A.A.B. acknowledges the support of DARPA project W911NF18-1-0041. X.M.Y. thanks the National Natural Science Foundation of China for financial support (No. 51702368).

REFERENCES

(1) König, D.; Casalenuovo, K.; Takeda, Y.; Conibeer, G.; Guillemoles, J. F.; Patterson, R.; Huang, L. M.; Green, M. A. Hot Carrier Solar Cells: Principles, Materials and Design. *Phys. E Low-Dimensional Syst. Nanostructures* **2010**, *42* (10), 2862–2866.

(2) Le Bris, A.; Rodiere, J.; Colin, C.; Collin, S.; Pelouard, J. L.; Esteban, R.; Laroche, M.; Greffet, J. J.; Guillemoles, J. F. Hot Carrier Solar Cells: Controlling Thermalization in Ultrathin Devices. *IEEE J. Photovoltaics* **2012**, 2 (4), 506–511.

(3) Conibeer, G. J.; König, D.; Green, M. A.; Guillemoles, J. F. Slowing of Carrier Cooling in Hot Carrier Solar Cells. *Thin Solid Films* **2008**, *516* (20), 6948–6953.

(4) Le Bris, A.; Lombez, L.; Laribi, S.; Boissier, G.; Christol, P.; Guillemoles, J. F. Thermalisation Rate Study of GaSb-Based Heterostructures by Continuous Wave Photoluminescence and Their Potential as Hot Carrier Solar Cell Absorbers. *Energy Environ. Sci.* **2012**, 5 (3), 6225–6232.

(5) Le Bris, A.; Guillemoles, J. F. Hot Carrier Solar Cells: Achievable Efficiency Accounting for Heat Losses in the Absorber and through Contacts. *Appl. Phys. Lett.* **2010**, *97* (11), 113506.

(6) Lassnig, R. Polar Optical Interface Phonons and Fröhlich Interaction in Double Heterostructures. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1984**, 30 (12), 7132–7137.

(7) Fritsch, J.; Pavone, P.; Schröder, U. Ab Initio Calculation of the Phonon Dispersion in Bulk InP and in the InP(110) Surface. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1995**, *52* (15), 11326–11334.

(8) Vallée, F. Time-Resolved Investigation of Coherent LO-Phonon Relaxation in III-V Semiconductors. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1994**, 49 (4), 2460–2468.

(9) Wang, Y.; Jackson, H. E.; Smith, L. M.; Burgess, T.; Paiman, S.; Gao, Q.; Tan, H. H.; Jagadish, C. Carrier Thermalization Dynamics in Single Zincblende and Wurtzite InP Nanowires. *Nano Lett.* **2014**, *14* (12), 7153–7160.

(10) Murdin, B. N.; Hollingworth, A. R.; Kamal-Saadi, M.; Kotitschke, R. T.; Ciesla, C. M.; Pidgeon, C. R.; Findlay, P. C.; Pellemans, H. P. M.; Langerak, C. J. G. M.; Rowe, A. C.; Stradling, R. A.; Gornik, E. Suppression of Lo Phonon Scattering in Landau Quantized Quantum Dots. *Phys. Rev. B - Condens. Matter Mater. Phys.* **1999**, 59 (12), R7817–R7820.

(11) Othonos, A. Probing Ultrafast Carrier and Phonon Dynamics in Semiconductors. J. Appl. Phys. **1998**, 83 (4), 1789–1830.

(12) Sundaram, S. K.; Mazur, E. Inducing and Probing Non-Thermal Transitions in Semiconductors Using Femtosecond Laser Pulses. *Nat. Mater.* **2002**, *1* (4), 217–224.

(13) Michael Klopf, J.; Norris, P. Subpicosecond Observation of Photoexcited Carrier Thermalization and Relaxation in InP-Based Films. *Int. J. Thermophys.* **2005**, *26* (1), 127–140.

(14) Elsaesser, T.; Woerner, M. Femtosecond Infrared Spectroscopy of Semiconductors and Semiconductor Nanostructures. *Phys. Rep.* **1999**, 321 (6), 253–305.

(15) Clady, R.; Tayebjee, M. J. Y.; Aliberti, P.; König, D.; Ekins-Daukes, N. J.; Conibeer, G. J.; Schmidt, T. W.; Green, M. A. Interplay between the Hot Phonon Effect and Intervalley Scattering on the Cooling Rate of Hot Carriers in GaAs and InP. *Prog. Photovoltaics* **2012**, 20 (3–4), 82–92.

(16) Tedeschi, D.; De Luca, M.; Fonseka, H. A.; Gao, Q.; Mura, F.; Tan, H. H.; Rubini, S.; Martelli, F.; Jagadish, C.; Capizzi, M.; et al. Long-Lived Hot Carriers in III-V Nanowires. *Nano Lett.* **2016**, *16* (5), 3085–3093.

(17) Johnston, M. B.; Whittaker, D. M.; Corchia, A.; Davies, A. G.; Linfield, E. H. Simulation of Terahertz Generation at Semiconductor Surfaces. *Phys. Rev. B: Condens. Matter Mater. Phys.* **2002**, 65 (16), 165301.

(18) Beard, M. C.; Turner, G. M.; Schmuttenmaer, C. A. Transient Photoconductivity in GaAs as Measured by Time-Resolved Terahertz Spectroscopy. *Phys. Rev. B: Condens. Matter Mater. Phys.* **2000**, 62 (23), 15764–15777.

(19) Joyce, H. J.; Docherty, C. J.; Gao, Q.; Tan, H. H.; Jagadish, C.; Lloyd-Hughes, J.; Herz, L. M.; Johnston, M. B. Electronic Properties of GaAs, InAs and InP Nanowires Studied by Terahertz Spectroscopy. *Nanotechnology* **2013**, *24* (21), 214006.

(20) Yuan, X.; Caroff, P.; Wong-Leung, J.; Tan, H. H.; Jagadish, C. Controlling the Morphology, Composition and Crystal Structure in Gold-Seeded GaAs $_{1-x}$ Sb $_x$ Nanowires. *Nanoscale* **2015**, 7 (11), 4995–5003.

G

(21) Yuan, X.; Caroff, P.; Wang, F.; Guo, Y.; Wang, Y.; Jackson, H. E.; Smith, L. M.; Tan, H. H.; Jagadish, C. Antimony Induced $\{112\}A$ Faceted Triangular GaAs < inf > 1-X</Inf > Sb < inf > x</Inf>/InP Core/Shell Nanowires and Their Enhanced Optical Quality. *Adv. Funct. Mater.* **2015**, 25 (33), 5300–5308.

(22) Hu, J.; Xu, X. G.; Stotz, J. A. H.; Watkins, S. P.; Curzon, A. E.; Thewalt, M. L. W.; Matine, N.; Bolognesi, C. R. Type II Photoluminescence and Conduction Band Offsets of GaAsSb/InGaAs and GaAsSb/InP Heterostructures Grown by Metalorganic Vapor Phase Epitaxy. *Appl. Phys. Lett.* **1998**, 73 (19), 2799–2801.

(23) Montazeri, M.; Wade, A.; Fickenscher, M.; Jackson, H. E.; Smith, L. M.; Yarrison-Rice, J. M.; Gao, Q.; Tan, H. H.; Jagadish, C. Photomodulated Rayleigh Scattering of Single Semiconductor Nanowires: Probing Electronic Band Structure. *Nano Lett.* **2011**, *11* (10), 4329–4336.

(24) Montazeri, M.; Jackson, H. E.; Smith, L. M.; Yarrison-Rice, J. M.; Kang, J.-H. H.; Gao, Q.; Tan, H. H.; Jagadish, C. Transient Rayleigh Scattering: A New Probe of Picosecond Carrier Dynamics in a Single Semiconductor Nanowire. *Nano Lett.* **2012**, *12* (10), 5389–5395.

(25) Sabbah, A. J.; Riffe, D. M. Femtosecond Pump-Probe Reflectivity Study of Silicon Carrier Dynamics. *Phys. Rev. B - Condens. Matter Mater. Phys.* **2002**, *66* (16), 1–11.

(26) Mittendorff, M.; Wendler, F.; Malic, E.; Knorr, A.; Orlita, M.; Potemski, M.; Berger, C.; De Heer, W. A.; Schneider, H.; Helm, M.; et al. Carrier Dynamics in Landau-Quantized Graphene Featuring Strong Auger Scattering. *Nat. Phys.* **2015**, *11* (1), 75–81.

(27) Leo, K.; Rühle, W. W.; Ploog, K. Hot-Carrier Energy-Loss Rates in GaAs/AlxGa1-XAs Quantum Wells. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1988**, 38 (3), 1947–1957.

(28) Žukauskas, A. Second Nonequilibrium-Phonon Bottleneck for Carrier Cooling in Highly Excited Polar Semiconductors. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1998**, 57 (24), 15337–15344.

(29) Pugnet, M.; Collet, J.; Cornet, A. Cooling of Hot Electron-Hole Plasmas in the Presence of Screened Electron-Phonon Interactions. *Solid State Commun.* **1981**, *38* (6), *531–536*.

(30) Lyon, S. A. Spectroscopy of Hot Carriers in Semiconductors. J. Lumin. **1986**, 35 (3), 121–154.

(31) Lin, W.-Z.; Schoenlein, R.W.; Fujimoto, J.G.; Ippen, E.P. Femtosecond Absorption Saturation Studies of Hot Carriers in GaAs and AlGaAs. *IEEE J. Quantum Electron.* **1988**, 24 (2), 267–275.

(32) Cardona, M.; Christensen, N. E. Acoustic Deformation Potentials and Heterostructure Band Offsets in Semiconductors. *Phys. Rev. B: Condens. Matter Mater. Phys.* **1987**, 35 (12), 6182–6194.

(33) Ridley, B. K. The Electron-Phonon Interaction in Quasi-Two-Dimensional Semiconductor Quantum-Well Structures. J. Phys. C: Solid State Phys. **1982**, 15 (28), 5899–5917.

(34) Zanato, D.; Balkan, N.; Ridley, B. K.; Hill, G.; Schaff, W. J. Hot Electron Cooling Rates via the Emission of LO-Phonons in InN. *Semicond. Sci. Technol.* **2004**, *19* (8), 1024–1028.

(35) Lester, L. F.; Ridley, B. K. Hot Carriers and the Frequency Response of Quantum Well Lasers. J. Appl. Phys. **1992**, 72 (7), 2579–2588.

(36) Ridley, B. K. Hot Electrons in Low-Dimensional Structures. Rep. Prog. Phys. **1991**, 54 (2), 169–256.

(37) Grundmann, M. Handbook on Semiconductors: The Physics of Semiconductors; Springer: New York, 2006.

(38) Balandin, A. A.; Pokatilov, E. P.; Nika, D. L. Phonon Engineering in Hetero- and Nanostructures. J. Nanoelectron. Optoelectron. 2007, 2 (2), 140–170.

(39) Toberer, E. S.; Zevalkink, A.; Snyder, G. J. Phonon Engineering through Crystal Chemistry. J. Mater. Chem. 2011, 21 (40), 15843–15852.

(40) Stroscio, M. A.; Dutta, M. Phonons in Nanostructures, 1st ed.; Cambridge, 2001.

(41) Ridley, B. K. Hybrid Phonons in Nanostructures, 1st ed.; Oxford: 2017.

(42) Pokatilov, E. P.; Nika, D. L.; Balandin, A. A. Acoustic-Phonon Propagation in Rectangular Semiconductor Nanowires with Elastically Dissimilar Barriers. Phys. Rev. B - Condens. Matter Mater. Phys. 2005, 72 (11), 4–7.

(43) Pokatilov, E. P.; Nika, D. L.; Balandin, A. A. Acoustic Phonon Engineering in Coated Cylindrical Nanowires. *Superlattices Microstruct.* **2005**, 38 (3), 168–183.

(44) Fonoberov, V. A.; Balandin, A. A. Phonon confinement effects in hybrid virus-inorganic nanotubes for nanoelectronic applications. *Nano Lett.* **2005**, 5 (10), 1920–1923.

(45) Fonoberov, V. A.; Balandin, A. A. Giant enhancement of the carrier mobility in silicon nanowires with diamond coating. *Nano Lett.* **2006**, *6* (11), 2442–2446.

(46) Ramayya, E. B.; Vasileska, D.; Goodnick, S. M.; Knezevic, I. Electron transport in silicon nanowires: The role of acoustic phonon confinement and surface roughness scattering. *J. Appl. Phys.* **2008**, *104*, 063711.

(47) Datta, D.; Krishnababu, K.; Stroscio, M. A.; Dutta, M. Effect of Quantum Confinement on Lifetime of Anharmonic Decay of Optical Phonons in Semiconductor Nanostructures. *J. Phys.: Condens. Matter* **2018**, *30* (35), 355302.

(48) Kargar, F.; Debnath, B.; Kakko, J. P.; Saÿnätjoki, A.; Lipsanen, H.; Nika, D. L.; Lake, R. K.; Balandin, A. A. Direct Observation of Confined Acoustic Phonon Polarization Branches in Free-Standing Semiconductor Nanowires. *Nat. Commun.* **2016**, *7*, 1–7.

(49) Kargar, F.; Ramirez, S.; Debnath, B.; Malekpour, H.; Lake, R. K.; Balandin, A. A. Balandin. Acoustic phonon spectrum and thermal transport in nanoporous alumina arrays. *Appl. Phys. Lett.* **2015**, *107* (17), 171904.